FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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on 16. Form 4 or Form 5	
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RimAsia Capital Partners GP, L.P.

1807 HARBOUR CENTRE

(First)

(Last)

(Middle)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 d average burden response: 0.5

7. Nature of Indirect Beneficial Ownership (Instr. 4)

11. Nature of Indirect Beneficial Ownership (Instr. 4)

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

U obligat	n 16. Form 4 or ions may conti tion 1(b).			File								es Exchan			34			ll ll		r response:	en 0
Name and Address of Reporting Person* RimAsia Capital Partners Manager, Ltd.					2. 1	or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol NeoStem, Inc. [NBS]									5. Relationship of F (Check all applicab X Director			ting F	Person(s) to Is		
(Last) 1807 HA	(F RBOUR C	· ·	(Middle)			Date o		st Tran	sactio	on (Mor	nth/E	Day/Year)					Offic belo	cer (give titl ow)	e	Other below	(specify)
25 HARI	BOUR RO	AD WANCHAI			4.1	f Ame	endment	, Date	of Ori	iginal F	iled	(Month/Da	ay/Yea	r)			ividual (or Joint/Gro	up F	iling (Check A	pplicable
(Street) HONG	KONG F4	1														ine) X	Го.	m filed by N		Reporting Pers	
(City)	(S	tate) ((Zip)																		
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1. Title of Security (Instr. 3) 2. Trans Date (Month)						ar) E	2A. Deemed Execution Date, if any (Month/Day/Year)		Co	Transaction Code (Instr.		4. Securities Acquire Disposed Of (D) (Inst 5)					5. Amount of Securities Beneficially Owned Following Reported		F ([Ownership orm: Direct O) or Indirect) (Instr. 4)	7. Nature of Indired Beneficia Ownersh (Instr. 4)
									Co	ode V		Amount	(<i>f</i>	A) or D)	Price		Transaction(s) (Instr. 3 and 4)				
Common	Stock, par	value \$0.001 per		01/02							V	12,000		A	(1)		<u> </u>	2,988(2)(3)(4	4)	D(2)(3)(4)	
		Ta										sed of, onvertib				y O	wned	i			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Executio if any			action (Instr.	n of		Expi	6. Date Exerci Expiration Dat (Month/Day/Ye		•	7. Title and Amount of Securities Underlying Derivative Security (In and 4)]	8. F Dei Ser (Ins		9. Number or derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	i S Ily	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Natu of Indire Benefici Ownersh (Instr. 4)
					Code	v	(A)	(D)	Date Exer	e rcisable		Expiration Date	Title	or Nu of	ımber						
		Reporting Person* Partners Mai	<u>nager,]</u>	<u>Ltd.</u>																	
	RBOUR C	(First) ENTRE AD WANCHAI	(Mid	dle)																	
(Street)	KONG	F4																			
(City)		(State)	(Zip)	١																	
		Reporting Person* I Partners, L.F																			
	RBOUR C	(First) ENTRE AD, WANCHAI	(Mid	dle)																	
(Street)	KONG	F4																			
(City)		(State)	(Zip)	١																	
1. Name ar	nd Address of	Reporting Person*																			

25 HARBOUR R	OAD, WANCHA	I	
(Street) HONG KONG	F4		
(City)	(State)	(Zip)	
1. Name and Address RimAsia Capi	. •		
(Last)	(First)	(Middle)	
1807 HARBOUR	R CENTRE		
25 HARBOUR R	OAD, WANCHA	I	
(Street) HONG KONG	F4		
(City)	(State)	(Zip)	
1. Name and Address Wei Eric	s of Reporting Person	ì [*]	
(Last)	(First)	(Middle)	
1807 HARBOUR	R CENTRE		
25 HARBOUR R	OAD, WANCHA	I	
(Street) HONG KONG	F4		

Explanation of Responses

- 1. On January 2, 2014, Eric H.C. Wei transferred 12,000 shares of common stock, par value \$0.001 per share ("Common Stock"), of NeoStem, Inc. (the "Company"), owned directly by Mr. Wei to RimAsia Capital Partners Manager, Ltd., a Cayman Islands exempted limited company ("RimAsia Manager") for no consideration.
- 2. These securities are held as to 2,237,988 shares of Common Stock by RimAsia Capital Partners L.P., a Cayman Islands exempted limited partnership ("RimAsia LP"), and as to 15,000 shares of Common Stock by RimAsia Manager.
- 3. This Form 4 is filed jointly by (a) RimAsia LP, (b) RimAsia Capital Partners GP, L.P. ("RimAsia GP"), the general partner of RimAsia LP, (c) RimAsia Capital Partners GP, Ltd. ("RimAsia Ltd."), the general partner of RimAsia GP, (d) RimAsia Manager, the Fund Manager of RimAsia GP and the Manager of RimAsia LP, and (e) Eric H.C. Wei, the managing partner of RimAsia LP, an indirect partner of RimAsia GP, a director of RimAsia Ltd. and a director of RimAsia Manager. All such reporting persons have the address noted in Item 1.
- 4. RimAsia GP, RimAsia Ltd., RimAsia Manager and Eric H.C. Wei disclaim beneficial ownership of the securities held by RimAsia LP, and RimAsia LP, RimAsia GP, RimAsia Ltd. and Eric H.C. Wei disclaim beneficial ownership of the securities held by RimAsia Manager, in each case except to the extent of any pecuniary interest therein, and the inclusion of these securities shall not be deemed an admission of beneficial ownership of all of the reported securities for purposes of Section 16 of the Securities Exchange Act or for any other purpose.

RIMASIA CAPITAL

PARTNERS MANAGER

<u>LTD., By: Eric H.C. Wei,</u> <u>01/03/2014</u>

Director, By: /s/ Catherine M.

Vaczy, Esq., Attorney-in-Fact

RIMASIA CAPITAL

PARTNERS, L.P., By: Eric

H.C. Wei, Managing Partner, 01/03/2014

By: /s/ Catherine M. Vaczy,

Esq., Attorney-in-Fact

RIMASIA CAPITAL

PARTNERS GP, L.P., By: Eric

<u>H.C. Wei, Partner, By: /s/</u> 01/03/2014

Catherine M. Vaczy, Esq.,

Attorney-in-Fact

RIMASIA CAPITAL

PARTNERS GP, LTD., By:

Eric H.C. Wei, Director, By: /s/ 01/03/2014

Catherine M. Vaczy, Esq.,

Attorney-in-Fact

ERIC H.C. WEI, By: /s/

Catherine M. Vaczy, Esq., 01/03/2014

Attorney-in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.