FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPRO	JVAL
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Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1 Name and Address of Departing Person*						Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer					
Name and Address of Reporting Person* Dickey Robert IV						NeoStem, Inc. [NBS]									(Check all applicable)					
Dickey Robert IV															X	Direc			Owner	
() () () () () ()							Date of Earliest Transaction (Month/Day/Year)									belov	er (give title w)	belov	(specify v)	
(Last) (First) (Middle)						06/30/2014									Chief Financial Officer					
C/O NEOSTEM, INC.																				
420 LEXINGTON AVENUE, SUITE 350						A 16 Assessment Parts of Original Filed (Marsh/P. C.)								+	C. Individual on InitatiOnnum Ellina (Obserts & 17. 11.					
					- ^{4. II}	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)	DE N	V 1	10170												X	Forn	n filed by One	e Reporting Per	son	
NEW YORK NY 10170															Form filed by More than One Reporting					
(6)	(0		- : \												Person					
(City)	(S	tate) (Zip)																	
		Tabl	e I - Nor	n-Deriv	ative	Se	curitie	s Acc	quired,	Dis	posed o	f, o	r Ben	eficia	ally (Owne	ed			
1. Title of S	Security (Ins	tr. 3)		2. Trans	action	Execution Date, if any			3.						4 and Securities Beneficially			6. Ownership Form: Direct	7. Nature of Indirect	
				(Month/I	Day/Yea				Transaction Disposed Of (D) (Instr. 3, Code (Instr. 5)			. 3, 4 aı	ficially (D	(D) or Indirect			Beneficial			
						(Month/Day/Year)							Reported		(I) (Instr. 4)	Ownership (Instr. 4)				
									Code	v	Amount		(A) or (D)	Price	.	Transaction(s) (Instr. 3 and 4)				
Common Stock, \$0.001 par value ⁽¹⁾ 06/30/						/2014			A ⁽¹⁾		279		A	\$5.542		5,279		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
		10	(e.g., pı	uts, c	alls	, warr	ants,	option	s, c	onvertib	ole s	ecuri	ties)	y O	viica				
1. Title of	2.	3. Transaction	3A. Deemed Execution Date if any		Code (Insti		ion of str. Derivative		6. Date Exercisa			7. Title and			8. Price		9. Number o		11. Nature	
Derivative Security	Conversion or Exercise	Date (Month/Day/Year)		· 1					Expiration Date (Month/Day/Year)			Amount of Securities			Derivative Security		derivative Securities	Ownership Form:	of Indirect Beneficial	
(Instr. 3)	tr. 3) Price of (Month/Day/) Derivative			ay/Year) 8)		Acc		rities ired	Underlying Derivative					(Instr. 5)		Beneficially Owned	Direct (D) or Indirect	Ownership (Instr. 4)		
	Security						(A) or Disposed		Security (Instr. and 4)				str. 3			Following Reported	(I) (Instr. 4)			
							of (D) (Instr. 3, 4		" '							Transaction (Instr. 4)	(s)			
								and 5)									(
												ount								
													mber							
			Code	v	(A)		Date Exercisal		Expiration Date	Title	of Sha	ares								

Explanation of Responses:

1. Shares purchased pursuant to NeoStem, Inc.'s 2012 Employee Stock Purchase Plan for the period ended June 30, 2014.

Robert Dickey IV By: /s/ Catherine M. Vaczy, Esq.,

Attorney-in-Fact

** Signature of Reporting Person Date

07/01/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.