SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Geehr Edward	2. Date of Event Requiring Statement (Month/Day/Year) 10/30/2009 3. Issuer Name and Ticker or Trading Symbol <u>NeoStem, Inc.</u> [NBS]						
(Last) (First) (Middle) C/O NEOSTEM, INC.			4. Relationship of Reporting Perso (Check all applicable) X Director	on(s) to Issuer 10% Owne	(Moi	Amendment, Da hth/Day/Year)	ate of Original Filed
420 LEXINGTON AVENUE, SUITE 450			Officer (give title below)	Other (spec below)	Арр	icable Line)	t/Group Filing (Check
(Street) NEW YORK NY 10170							y One Reporting Person y More than One erson
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security		4. Conversion or Exercise Price of	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	10/29/2019	Common Stock, par value \$0.001 per share	150,000	1.9	D	

Explanation of Responses:

1. Effective on October 30, 2009 (the "Grant Date"), the reporting person was granted an option to purchase 150,000 shares of common stock of NeoStem, Inc. (the "Company"), pursuant to and subject to the Company's 2009 Equity Compensation Plan. The option is scheduled to vest as to 50,000 shares on each of the first, second and third anniversaries of the Grant Date. The Grant Date coincides with the closing of the merger of China Biopharmaceuticals Holdings, Inc. ("CBH") with and into CBH Acquisition LLC, a wholly-owned subsidiary of the Company, at which time the reporting person became a director of the Company.

Remarks:

/s/ Edward C. Geehr

<u>11/02/2009</u> Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.