SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Iress of Reporting	2. Date of Event Requiring Statement (Month/Day/Year) 10/01/2009 3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NeoStem, Inc.</u> [ NBS ]									
(Last) C/O NEOSTE	Last) (First) (Middle) C/O NEOSTEM, INC				4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below)		on(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
420 LEXINGTON AVENUE, SUITE 450				Other (spe below)			· [0.1	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) NEW YORK	NY	10170				VP of Sales and M	arketing	2		y One Reporting Person y More than One erson	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					Beneficially Owned (Instr. 4)				Nature of Indirect Beneficial Ownership Istr. 5)		
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		I 3. Title and Amount of Secur Underlying Derivative Securi			4. Conversion or Exercise	Form:	(Instr. 5)	
Explanation of R			Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		

No securities are beneficially owned.

/s/Teresa Linn Samuels Lepore 10/05/2009

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.